

04-10-00

PTO/SB/05 (2/98)

04/07/00

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for nonprovisional applications under 37 CFR § 1.53(b))

Attorney Docket No.

1561.003 / 200130.471

First Inventor or Application Identifier

Wei et al.

Title

NOVEL PROTEIN ASSOCIATED WITH CELL STRESS
RESPONSE

Express Mail Label No.

EL487463447US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 202311. ☒ General Authorization Form & Fee Transmittal
(Submit an original and a duplicate for fee processing)2. ☒ Specification [Total Pages] **49**
(preferred arrangement set forth below)

- Descriptive Title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3. ☒ Drawing(s) (35 USC 113) [Total Sheets] **12**4. Oath or Declaration [Total Pages] **1**

- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
- i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 CFR 1.63(d)(2) and 1.33(b)

5. ☐ Incorporation By Reference (useable if box 4b is
checked) The entire disclosure of the prior application,
from which a copy of the oath or declaration is supplied
under Box 4b, is considered to be part of the disclosure of
the accompanying application and is hereby incorporated by
reference therein.6. ☐ Microfiche Computer Program (Appendix)7. Nucleotide and Amino Acid Sequence Submission
(if applicable, all necessary)

- a. ☐ Computer-Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)10. ☐ English Translation Document (if applicable)11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS
Citations12. ☐ Preliminary Amendment13. ☒ Return Receipt Postcard14. ☐ Small Entity Statement(s) ☐ Statement filed in prior application,
Status still proper and desired15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)16. ☒ Other: Certificate of Express Mail
Check No. 11176 for \$ 1,476
Sequence Listing (3 pages)

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment

☐ Continuation ☐ Divisional ☐ Continuation-In-Part (CIP) of prior Application No.: _____

Prior application information: Examiner _____ Group / Art Unit _____

☒ Claims the benefit of Provisional Application Nos. 60/128,372 and 60/140,331

CORRESPONDENCE ADDRESS

Chiron Corporation
Intellectual Property R338
P.O. Box 8097
Emeryville, CA 94662-8097
(510) 655-8730 phone
(510) 655-3542 fax

Respectfully submitted,

TYPED or PRINTED NAME Jane E. R. PotterSIGNATURE Jane E. R. PotterREGISTRATION NO. 33,332Date April 7, 2000

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Dong Wei, San Francisco, CA; Robert Halenbeck, San Rafael, CA;
and Lewis T. Williams, Mill Valley, CA

Title : NOVEL PROTEIN ASSOCIATED WITH CELL STRESS
RESPONSE

Docket No. : 1561.003 / 200130.471

Date : April 7, 2000

Box Patent Application
Assistant Commissioner for Patents
Washington, DC 20231

GENERAL AUTHORIZATION UNDER 37 C.F.R. § 1.136(a)(3)
AND FEE TRANSMITTAL

Assistant Commissioner for Patents:

With respect to the above-identified application, the Assistant Commissioner is authorized to treat any concurrent or future reply requiring a petition for an extension of time under 37 C.F.R. § 1.136(a)(3) for its timely submission as incorporating a petition therefor for the appropriate length of time. The Assistant Commissioner is also authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 19-1090.

With respect to the above-identified application, the fee is calculated below:

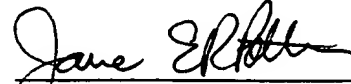
| For | Number filed | Number extra | | Rate. | | |
|--------------------|-----------------|-----------------|---|-------|---|----------|
| Basic Fee | | | | | | \$ 690 |
| Total Claims | 29 | 9 | X | \$ 18 | = | \$ 162 |
| Independent Claims | 11 | 8 | X | \$ 78 | = | \$ 624 |
| TOTAL FILING FEE | | | | | | \$ 1,476 |

A check in the amount of \$ 1,476 is enclosed to cover the filing fee.

The Assistant Commissioner is authorized to charge any fees under 37 C.F.R. §§ 1.16 and 1.17 which may be required, or credit any overpayment, to Deposit Account No. 19-1090. A duplicate copy of this request is enclosed.

Date

April 7, 2000



Jane E. R. Potter

Registration No. 33,332

Seed Intellectual Property Law Group PLLC
701 Fifth Avenue, Suite 6300
Seattle, Washington 98104-7092
Phone: (206) 622-4900
Fax: (206) 682-6031

U:\CarolW\Chiron\471\04.07.00 APPLICATION\General Authorization Form and Fee Transmittal.doc

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Dong Wei, San Francisco, CA; Robert Halenbeck, San Rafael, CA;
and Lewis T. Williams, Mill Valley, CA

Title : NOVEL PROTEIN ASSOCIATED WITH CELL STRESS
RESPONSE

Docket No. : 1561.003 / 200130.471

Date : April 7, 2000

Box Patent Application
Assistant Commissioner for Patents
Washington, DC 20231

GENERAL AUTHORIZATION UNDER 37 C.F.R. § 1.136(a)(3)
AND FEE TRANSMITTAL

Assistant Commissioner for Patents:

With respect to the above-identified application, the Assistant Commissioner is authorized to treat any concurrent or future reply requiring a petition for an extension of time under 37 C.F.R. § 1.136(a)(3) for its timely submission as incorporating a petition therefor for the appropriate length of time. The Assistant Commissioner is also authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 19-1090.

With respect to the above-identified application, the fee is calculated below:

| For | Number filed | Number extra | | Rate | | |
|--------------------|-----------------|-----------------|---|-------|---|----------|
| Basic Fee | | | | | | \$ 690 |
| Total Claims | 29 | 9 | X | \$ 18 | = | \$ 162 |
| Independent Claims | 11 | 8 | X | \$ 78 | = | \$ 624 |
| TOTAL FILING FEE | | | | | | \$ 1,476 |

A check in the amount of \$ 1,476 is enclosed to cover the filing fee.

The Assistant Commissioner is authorized to charge any fees under 37 C.F.R. §§ 1.16 and 1.17 which may be required, or credit any overpayment, to Deposit Account No. 19-1090. A duplicate copy of this request is enclosed.

Date

April 7, 2000

Jane E. R. Potter
Jane E. R. Potter
Registration No. 33,332

Seed Intellectual Property Law Group PLLC
701 Fifth Avenue, Suite 6300
Seattle, Washington 98104-7092
Phone: (206) 622-4900
Fax: (206) 682-6031

U:\CarolW\Chiron\471\04.07.00 APPLICATION\General Authorization Form and Fee Transmittal.doc

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Dong Wei, San Francisco, CA; Robert Halenbeck, San Rafael, CA
and Lewis T. Williams, Mill Valley, CA
Filed : April 7, 2000
For : NOVEL PROTEIN ASSOCIATED WITH CELL STRESS
RESPONSE

Docket No. : 1561.003 / 200130.471

Date : April 7, 2000

Box Patent Application
Assistant Commissioner for Patents
Washington, DC 20231

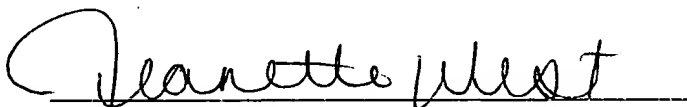
CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Assistant Commissioner for Patents:

I hereby certify that the enclosures listed below are being deposited with the United States Postal Service "EXPRESS MAIL Post Office to Addressee" service under 37 C.F.R. § 1.10, Mailing Label Certificate No. EL487463447US, on April 7, 2000, addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, DC 20231.

Respectfully submitted,

Seed Intellectual Property Law Group PLLC



Jeanette West / Susan Johnson

JEP:cew

Enclosures:

Postcard
Check No. 11176 for \$ 1,476
Form PTO/SB/05
General Authorization Under 37 C.F.R. § 1.136(a)(3) and Fee Transmittal (+ copy)
Specification, Claims, Abstract (49 pages)
Sequence Listing (3 pages)
12 Sheets of Drawings (Figures 1-9)